

ASAM PPC-2R ADOLESCENT RISK RATING

ASAM Patient Placement Criteria for the Treatment of Substance-Related Disorders- Adolescents

	0	1	2	3	4
1 <i>Acute Intoxication and/or Withdrawal (WD) Potential</i>	Fully functioning and demonstrates good ability to tolerate and cope with WD discomfort; no signs and symptoms of intoxication or WD present or signs and symptoms are resolving;	Adequate ability to tolerate and cope with W/D discomfort; mild to mod. Intoxication or signs and symptoms pose no imminent danger;	Some difficulty tolerating and coping with W/D discomfort; does not pose imminent danger to self or others;	Poor ability to tolerate and cope with W/D; may pose an imminent danger to self or others; Severe signs and symptoms of W/D;	Ct. is incapacitated with severe s/s; W/D poses danger, continued use poses an imminent threat to life;
2 <i>Biomedical Conditions and Complications</i>	Fully functioning and demonstrates good ability to cope with physical discomfort; no biomedical signs and symptoms present or biomedical problems are stable;	Adequate ability to tolerate and cope with physical discomfort; mild to moderate signs and symptoms interfere w/daily functioning;	Some difficulty tolerating and coping with physical discomfort & has other biomedical problems that may interfere w/recovery and treatment; moderately severe biomedical conditions & problems are active, but manageable w/ easily assessable off site care;	Ct. demonstrates poor ability to tolerate & cope with physical problems or general health condition is poor; Serious medical conditions which are neglected in OP/IOP; such problems are stable;	Ct. is incapacitated with severe medical problems;
3 <i>Emotional, Behavioral or Cognitive (EBC) Conditions and Complications</i>	Good impulse control & coping skills: Able to focus on recovery, identify appropriate supports and reach out for help; Fully functioning in relationships with significant others, school, work and friends; Fully functional with good personal resources and skills to cope with emotional problems; No emotional or behavioral problems or any problems identified are stable; no recent serious or high-risk vulnerability;	Adequate impulse control to deal w/thoughts of harm; Emotional concerns relate to neg. consequences & effects of addiction; able to view as part of addiction; Relationships or social functioning are impaired but not endangered by use; able to meet personal responsibilities & maintain relationships despite s/s; Adequate resources & skills to cope with problems; Mild to moderate symptoms with good response to tx. in the past; relatively long periods of stability or not severe enough to pose high risk;	Suicidal ideations or violent impulses which require more than routine monitoring; EBC distract from recovery efforts; Relationships or social functioning are impaired but not endangered by use; s/s are causing moderate difficulties in relationships but do not pose a danger or impede the ADL or responsibilities in the home; Poor personal resources, moderate or minimal skills to cope with EBC; Frequent or intense symptoms w/ a history that indicates not well stabilized; acute problems pose some risk of harm but not imminently dangerous;	Frequent impulses to harm self or others which are potentially destabilizing or chronic; Recovery efforts are negatively affected by the ct's EBC problems in significant & distracting ways; Significant functional impairment, with severe symptoms of EBC; such symptoms seriously impair the ct's ability to function in family, social, work or school settings and can not be managed at less intensive LOC or to function in shelters or community situations; Insufficient or severe lack of capacity to cope with EBC; uncontrolled behavior, confusion or disorientation limit the ct's ability to manage ADL; Acute course of illness dominates the clinical	Severe psychotic, mood or personality disorders present acute risk to the ct such as immediate risk of suicide, psychosis with unpredictable, disorganized or violent behavior, or gross neglect of self; Unable to focus on addiction recovery due to severe overwhelming mental health problems or regression and psychiatric symptoms due to continued AOD use; Unable to cope with family, school, friends or work due to severe overwhelming EBC problems or regression and psychiatric symptoms related to AOD use; Ct has developed a life-threatening condition that requires medical management High risk with significant vulnerability to dangerous consequences; exhibits

				presentation with symptoms involving impaired reality testing, communication, thought processes, judgment or attention to personal hygiene, which significantly compromise the ct's ability to adjust to life in the community; interventions at previous LOC have not achieved stabilization or remission of symptoms; limited ability to follow through with tx recommendations resulting in risk of and vulnerability to dangerous situations	sever and acute life-threatening symptoms that pose imminent danger to self or others; history of instability indicates a need for high intensity service to prevent dangerous consequences
4 Readiness to Change	Engaged in tx as a proactive, responsible participant and is committed to change AOD use;	Willing to enter treatment and to explore strategies for substance use but is ambivalent about the need for change; willing to change substance use but believes it will not be difficult to do so or will not accept full recovery tx plan;	Reluctant to agree to tx for substance use; able to articulate the negative consequences but has a low level of commitment to change their use; minimal readiness to change, only passively engaged in tx and is variably compliant with attendance at sessions	Exhibits inconsistent follow through and shows minimal awareness of SA disorder and need for tx; appears unaware of the need to change & is unwilling or only partially able to follow through with tx recommendations;	<p>4a. No Immediate Action Required; Unable to follow through, has little or no awareness of SA use problems and associated neg. consequences; knows very little about addiction, and sees no connection between suffering and substance use; no imminent danger or inability to care for self, not willing to explore change and is in denial regarding illness and implications;</p> <p>4b. Immediate Action Required Unable to follow through with tx recommendations and behavior represents an imminent danger of harm to self or to others, or is unable to function independently and engage in self care;</p>
	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>
	Engaged in tx as a proactive, responsible participant and is committed to change mental functioning and behavior;	Willing to enter treatment and to explore strategies for substance use but is ambivalent about the need for change; participation in MH tx is sufficient to avert mental decompensation;	Reluctant to agree to tx for mental disorders; able to articulate the negative consequences of their MH problems but has low commitment to tx; minimal readiness to change and	Exhibits inconsistent follow through and shows minimal awareness of MH disorder and need for tx; appears unaware of the need to change & is unwilling or only partially able to follow	<p>4a. No Immediate Action Required: Unable to follow through, has little or no awareness of a mental disorder and any associated negative consequences, knows very</p>

			only passively involved in tx;	through with tx recommendations;	little about mental illness, and sees no connection between suffering and MH problems; no imminent danger or inability to care for self, not willing to explore change and is in denial regarding illness and implications; 4b. Immediate Action Required: Unable to follow through with tx recommendations and behavior represents an imminent danger of harm to self or to others, or is unable to function independently and engage in self care;
5 <i>Relapse, Continued Use, or Continued Problem Potential</i>	No potential for further substance use problems or is at minimal risk of relapse and good coping skills;	Minimal relapse potential, with some vulnerability, and has fair self-management and relapse prevention skills;	Impaired recognition and understanding of substance use relapse issues, but is able to self manage with prompting;	Little recognition and understanding of substance use relapse issues and has poor skills to cope with and interrupt addiction problems or to avoid or limit relapse;	4a. No Immediate Action Required: Repeated tx episodes have had little positive effect on the ct's functioning; no coping skills to interrupt addiction problems or to prevent or limit relapse; No imminent danger and able to care for self; 4b. Immediate Action Required: No skills to arrest the addictive disorder or to prevent relapse to substance use; continued addictive behavior places the ct and/or others in imminent danger;
	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>
	Not at risk of further mental health problems or has low risk and good coping skills;	Minimal relapse potential with some vulnerability, and has fair self-management and relapse prevention skills	Impaired recognition and understanding of mental illness relapse issues, but is able to self manage with prompting;	Little recognition and understanding of mental illness relapse issues and has poor skills to cope with and interrupt mental health problems or to avoid or limit relapse;	4a. No Immediate Action Required: Repeated tx episodes have had little positive effect on the ct's functioning; no coping skills to interrupt mental health problems or to prevent or limit relapse; No imminent danger and able to care for self; 4b. Immediate Action

Handout 18 - Adolescent ASAM PPC-2r Risk Rating Crosswalk

					<p>Required: No skills to arrest the mental illness or to prevent relapse to mental health problems; continued psychiatric disorder places the ct and/or others in imminent danger;</p>
<p>6 Recovery Environment</p>	<p>Has a supportive environment or is able to cope with poor supports</p>	<p>Has passive support or significant others are not interested in their addiction recovery, but ct is not too distracted by the situation to be able to cope</p>	<p>Environment is not supportive of addiction recovery but with clinical structure, the ct is able to cope most of the time;</p>	<p>Environment is not supportive of addiction recovery and ct finds coping difficult even with clinical structure;</p>	<p>4a. No Immediate Action Required: Environment is not supportive and is chronically hostile and toxic to addiction recovery or tx progress; ct is unable to cope with the negative effects of such an environment on their recovery; 4b. Immediate Action Required: Environment is not supportive and is actively hostile to addiction recovery, posing an immediate threat to the ct's safety and wellbeing;</p>
	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>	<i>Mental Health</i>
	<p>Has a supportive environment or is able to cope with poor supports</p>	<p>Has passive support or significant others are not interested in an improved mental health environment, but ct is not too distracted by the situation to be able to cope</p>	<p>Environment is not supportive of good mental health, but with clinical structure, the ct is able to cope most of the time;</p>	<p>Environment is not supportive of good mental health and ct finds coping difficult even with clinical structure;</p>	<p>4a. No Immediate Action Required: Environment is not supportive and is chronically hostile and toxic to good mental health; ct is unable to cope with the negative effects of such an environment on their recovery; 4b. Immediate Action Required: Environment is not supportive and is actively hostile to a safe mental health environment, posing an immediate threat to the ct's safety and wellbeing;</p>
	No Risk	Low	Moderate	High	Severe

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- **Level I Outpatient treatment** typically has a risk rating of “0” or “1” in all Dimensions.
- **Level II Intensive Outpatient** typically has a “0” or “1” in Dimensions 1 and 2; a “1 or 2” in Dimension 3; and a “3” or “4” in Dimension 4, 5, or 6.
- **Level II Partial Hospitalization** typically has a risk rating of “1” or “0” in Dimension 1; a “2” or “3” in Dimension 2; a “2 or 3” in Dimension 3; and one “3 or 4” in Dimensions 4 through 6.
- **Level III Residential Treatment** typically has a one “3” or “4” in Dimension 1, 2 or 3; and an additional “3” or “4” in Dimensions 1 through 6. For dimension 1, risk rating of “3” or “4” within past 2 weeks.

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